**SRF Advocates Forum**

**Workshop: Intended Use Plans**

# **Instructions**

This worksheet aims to familiarize you with the state’s Intended Use Plan (IUP). Use it to guide the exploration of the IUP. The prompts help you think critically about the information in the IUP.

You may not have time to fill in all the prompts under each element during the workshop, so focus on questions most relevant to the experiences and advocacy efforts. It is unlikely that you’ll have time to answer questions marked with an \* within the workshop period, as these may require a deeper dive into the IUP, but we hope the worksheet helps you continue the IUP exploration beyond the workshop session.

As you go through the worksheet, think about:

* **what is working?**
* **what needs improvement?**
* **what and who is missing?**
* **what barriers exist from an equity, transparency, accessibility, and accountability standpoint?**

Considering these questions will help you to define the issues on which you want to focus the advocacy.

If you would like additional guidance on this worksheet or have feedback to provide after the workshop, please email Sapna Mulki - sapna@policyinnovation.org

# **> Find the State’s DWSRF or CWSRF IUP(s)**

>Identify the federal capitalization grants covered by the IUP

>Identify how to submit formal comments on a Draft IUP

* Decide if you want to look for the state’s **DWSRF IUP or CWSRF IUP**, and check which one you choose:
  + DWSRF
  + CWSRF
* Is the SRF **searchable,** or is it a scanned PDF?
  + Yes, it’s searchable!
  + No, it’s not searchable
* Which **state SRF agency** is in charge of administering the SRF program you chose? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* What stage is this IUP in (check one)?
  + Draft. Date (if provided): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Amendment. Date (if provided):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Final (adopted). Date (if provided):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* Have SRF state policy/program changes been highlighted in the IUP?
  + Yes. The following changes are of interest to my work:
  + No
* Which year{s} are identified in the title of the IUP? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* Does this appear to reference a Federal Fiscal Year? State Fiscal Year? Or is it unclear?
  + Federal Fiscal Year (FFY) in which the $$ for the federal capitalization grants covered in the IUP were appropriated
  + State Fiscal Year (SFY) in which SRF awards for the projects covered by the IUP are expected to be finalized
  + Unclear

|  |
| --- |
| **NOTE**: The introduction to the IUP and the “Sources and Uses of Funds” table might be good places to find information about what federal capitalization grants are covered by the IUP. A “Sources and Uses of Funds” table might be attached as an appendix or embedded within the main text of the IUP. |

* Which **base grant(s)** are covered in the IUP?
  + Base grant(s) appropriated in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

year(s)

* Are **Bipartisan Infrastructure Law (BIL) grants** covered in this IUP?
  + DWSRF (check all federal capitalization grants that are included):
    - Base
    - General Supplemental
    - DWSRF–Emerging Contaminants
    - DWSRF–Lead Service Line Replacement
  + CWSRF (check all federal capitalization grants that are included):
    - Base
    - General Supplemental
    - CWSRF–Emerging Contaminants
* If BIL funds (General Supplemental, EC, LSLR) are not covered by this IUP, can you easily find separate IUPs that cover these grants – or other information about the state’s intentions for these funds – on the agency’s website?
  + Yes.
  + No. I had to Google it
  + No. I couldn’t find information on how my state plans to use BIL funds.

Notes: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* Can you discern how much money is available to be distributed during the funding cycle covered by the IUP (not just from the federal capitalization grants and state matching funds but also from principal and interest repayments)?
  + Yes. Total funds available for distribution: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + No.
* What is (or was) the public comment period for commenting on the draft IUP?
  + 30 days
  + Other. How many days? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* Does the IUP describe (or were there announcements provided elsewhere by the state) **how and when public comments will be (or were) taken**?
  + Yes
  + No

Where information about how to comment on the IUP is provided: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* Are public comments addressed or included in the IUP?
  + Agency includes responses to comments on the draft IUP in the Final IUP.
  + Public comments and agency responses are uploaded to the agency website.
  + No public response to comments on the draft IUP provided.

**Summarize the observations about finding the IUP and identifying the federal grants covered by the IUP below:**

* What stood out to you? What did you learn? What needs to change, and how could you achieve this goal?

**>SRF Program Goals**

* List a few goals you have for the state D/CWSRF program:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* + \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* Are specific types of *applicants* (e.g., \_\_\_\_\_\_\_\_\_\_ \_\_) prioritized in the goals?
  + Yes (specify):
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + No
* Are specific types of *projects* (e.g., \_\_\_ \_\_\_\_) prioritized in the goals?
  + Yes (specify):
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + No
* Are goals limited to fulfilling basic administrative tasks?

Examples of administrative tasks include - Continue to efficiently implement the DWSRF program; Make available short-term financing; Continue to be current with all Federal Reporting requirements (NY DWSRF IUP SFY 2024).

* + Yes
  + No
* Are any of the following represented in the goals (check all that apply)?

Examples of specific goals include- Develop strategies and mechanisms to promote regionalization as a viable alternative; Provide economic assistance to municipalities, including those that may not have substantial credit histories (WI CWSRF IUP SFY 2024); Help water systems prioritize LSL identification and replacement (UT DWSRF IUP FY2023).

* + Water affordability
  + Equitable distribution of funds (including prioritizing DACs)
  + Climate resilience
  + Green Infrastructure and other nature-based solutions
  + Workforce issues
* Do the policies represented in the IUP align with the program's stated goals? \*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Strongly agree | Agree | Neutral | Disagree | Strongly disagree |

**Summarize the findings below:**

* What stood out to you? What did you learn? What needs to change and how could you achieve this goal?

# 

# **>Types of Assistance**

* What types of assistance are available under the IUP (check all that apply)?
  + Loans
    - Low-interest rate
    - Zero-interest rate
    - Negative-interest rate
  + Grants
  + Principal forgiveness
  + Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* What is the loan fee (typically a fixed percentage of the loan amount)?\_\_\_\_\_\_\_\_\_\_\_\_\_
* What are the loan repayment terms?
  + One single loan repayment term for all loans: \_\_\_\_\_\_\_\_\_ years
  + Different loan repayment terms for different borrowers/projects:
    - Specify:
      * \_\_\_\_\_\_\_\_\_ years for \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_projects/borrowers
      * \_\_\_\_\_\_\_\_\_ years for \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_projects/borrowers
      * \_\_\_\_\_\_\_\_\_ years for \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_projects/borrowers

* What is the interest rate on the loans? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* How are [interest rates determined](https://www.policyinnovation.org/blog/srf-borrowing-costs)? \*
  + Fixed Rate
    - Fixed-Fixed
    - Fixed-Formula
    - Fixed-Tiered
    - Fixed-Variable
  + Market Rate
    - Market-Formula
    - Market-Tiered
    - Market-Percentage
    - Market-Variable
* Are discounted interest rates provided
  + For certain types of communities (e.g., DACs)?
  + For certain types of projects (e.g., LSLR projects?)
* What are the total amounts of *principal forgiveness* allocated from the following fed cap grants:
  + Base funds : $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_% (of base)
  + Supplemental Funds: $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_% (of supplemental)
* Who/what is eligible for principal forgiveness? (check all that apply)
  + DACs/communities that meet CWSRF affordability criteria
  + Very small systems
  + Urgent need projects
  + Green projects
  + Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* How are projects ranked for the purposes of distributing principal forgiveness?

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* Are there caps on how much principal forgiveness is distributed?
  + Yes
    - Flat Cap (e.g., $500,000 per project or per year)
    - Scaled Cap (e.g., up to $500,000 per year or communities less than or equal to 75% statewide AMHI; up to $1,000,000 in principal forgiveness available for communities less than or equal to 50% statewide AMHI)
    - Percent Cap (e.g., 75% project cost)
  + No

**Summarize the findings below:**

* What stood out to you? What did you learn? What needs to change and how could you achieve this goal?

# **>Disadvantaged Communities (DACs)/Affordability Criteria**

* What factors does the state use to define DACs/Affordability Criteria? (check all that apply).

In the space following the factor listed, indicate the threshold used or other details about how the state uses/assesses the factor listed.

* + Annual Median Household Income (AMHI) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Lowest Quintile Income (LQI) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Poverty rate \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Unemployment rate\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Population served \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Population decline \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Social Vulnerability Index (SVI) factors\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Water rate burden\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Household Cost Factor (HCF)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Other (s):
    - \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
    - \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
    - \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* Do you think the state uses the right factors/thresholds to define DACs / affordability criteria?
  + Yes
  + No
  + Unsure
* What spatial boundary is used to identify DACs?
  + Service area of the *project*
  + Service area of the *applicant* water system, as a whole
  + Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* What *type* of DAC definition does the state have?
  + In/out definition (If a community meets specified factor(s), it qualifies as a DAC)
  + Sliding scale (Points for each factor are scaled, and a project will receive points according to the characteristics of the project/community. Points are added up to determine whether the project qualifies as a DAC)
* If the IUP covers more than one federal capitalization grant (i.e., base, general supplemental, LSLR, EC), is there a single DAC definition for all programs, or are there different definitions?
  + Yes, one definition for all
  + No, multiple definitions
* How much principal forgiveness can be awarded to each DAC?
  + It depends on the relative need of the DAC (i.e., different amounts of principal forgiveness are available to qualifying DACs based on how “disadvantaged” they are)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* + Qualifying DACs are eligible for the same flat rate of principal forgiveness (e.g., all qualifying DACs are eligible for up to 75% of project costs as principal forgiveness):

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* Do you think additional subsidization (e.g., principal forgiveness, grants) for DACs is sufficient?
  + Yes
  + No
  + Unsure
* Is there favorable financing available for DACs? (i.e., DACs eligible for better interest rates than non-DACs)
  + Yes:
    - Favorable interest rate: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
    - Favorable repayment terms: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ years
    - Favorable loan fee: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
    - Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + No
* Was the DAC definition/Affordability Criteria recently revised? If so, what changed?

**Summarize the findings below:**

* What stood out to you? What did you learn? What needs to change, and how could you achieve this goal?

# 

# **>Project Prioritization & Project Priority Lists**

1. **Priority ranking methodology for prioritizing projects**

* Have the priority ranking criteria been recently updated or considered for update?
  + Yes, has been updated
  + Yes, being considered for an update. Can you tell what’s changing? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + No
  + Unsure
* Is the priority ranking methodology easily accessible in the IUP?
  + Yes, it is included in the IUP
  + Yes, the IUP clearly provides a link to where the priority ranking methodology is located
  + No, there is a reference to the administrative code but no link
  + I can’t find the priority ranking methodology
* Are priority points distributed to DACs?
  + Yes, all DACs get the same number of prioritization points: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Yes, DACs get points, which are scaled based on the community’s level of “disadvantage”
  + No
* Are important criteria missing from the prioritization scheme?
  + Yes. Which ones? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + No
  + Unsure
* Are important criteria listed but receive too many or too few prioritization points?
  + Yes
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_criteria has \_\_\_\_\_\_\_\_\_ points but should receive \_\_\_\_\_\_\_\_\_ points instead
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ criteria has \_\_\_\_\_\_\_\_\_ points but should receive \_\_\_\_\_\_\_\_\_ points instead
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_criteria has \_\_\_\_\_\_\_\_\_ points but should receive \_\_\_\_\_\_\_\_\_ points instead
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_criteria has \_\_\_\_\_\_\_\_\_ points but should receive \_\_\_\_\_\_\_\_\_ points instead
  + No
  + Unsure
* Are the stated goals reflected in the prioritization scheme?
  + Yes
  + No
  + Unsure
* List the criteria included in the ranking system:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

## **b) Project Priority List(s)**

* Is there one project priority list or multiple? Is the project priority list included in the IUP, or is it a separate PDF that must be accessed online?
  + Yes, just one list
  + No, multiple lists, including:
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* Does the project priority list indicate which projects are expected to receive funding awards?
  + Yes, the table says which projects are expected to receive funding awards, e.g., indicated in a column or funding line drawn across the PPL
  + The PPL doesn’t indicate this, but a separate “funding list” does
  + No, the IUP does not indicate which projects are expected to receive funding awards
* Projects are ranked:
  + Annually. Include deadline for applications:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + Rolling. Include the number of rounds of loan applications:\_\_\_\_\_\_\_\_\_\_
  + Quarterly
  + Unsure
* Are the process/reasons for why projects might be bypassed by projects further down the PPL explained in the IUP?
  + Yes. It includes: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  + No
* Can you find any information about the state’s “ready-to-proceed” criteria and when they are assessed? This information might be available on the SRF agency website.
  + Yes. The readiness to proceed criteria includes: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* + No
* Do you think the readiness-to-proceed criteria might be a barrier for applicants? If so, how and for whom?

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Summarize the findings below:**

* What stood out to you? What did you learn? What needs to change, and how could you achieve this goal?